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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/816,465 | 04/01/2004 | Sonia Moreno-Lopez | NHL-NP-45 |

 NILS H. LJUNGMAN
 NILS H. LJUNGMAN & ASSOCIATES
 P.O. BOX 130
 GREENSBURG, PA 15601-0130

CONFIRMATION NO. 8524

FORMALITIES LETTER



OC000000012686749

Date Mailed: 05/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

 Refund Ref:
 09/23/2004 SDIRETA1 0000138986 *ML*

Filing Date Granted

CHECKS REQUIRED TO AVOID ABANDONMENT:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$344 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1244 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$344

07/20/2004 MBELETE1 00000043 10816465

 01 FC:1001 770.00 OP
 02 FC:1051 130.00 OP

 Adjustment date: 09/23/2004 SDIRETA1
 07/20/2004 MBELETE1 00000043 10816465

 01 FC:1001 -770.00 OP
 02 FC:1051 -130.00 OP

09/23/2004 SDIRETA1 00000005 10816465

 01 FC:2001 385.00 OP
 02 FC:2051 65.00 OP

 Repln. Ref: 09/23/2004 SDIRETA1 0009482900
 Date: 12/1/2004 Name/Number: 10816465
 FC: 93041 \$450.00 CR



Dep & Ref
com #307

Docket No.: NHL-NP-45
Serial No.: 10/816,465
Customer No.: 00432

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXAMINER: (NOT YET RECEIVED)
ART UNIT: 1653
SERIAL NO.: 10/816,465
FILING DATE: April 1, 2004
INVENTORS: Sonia MORENO-LÓPEZ and Marcos TIMÓN-
JIMENÉZ
TITLE: MEANS FOR ELICITING AN IMMUNE
RESPONSE AND A METHOD THEREFOR

Greensburg, Pennsylvania 15601

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 2231-1450

August 3, 2004

ATTENTION: REFUND BRANCH

PETITION FOR REFUND OF FEE

Sir:

Please find enclosed herewith a photocopy of a Transmittal Letter dated July 15, 2004 which shows that a Filing Fee of \$770.00 and a surcharge fee of \$130.00 for a large entity were paid relating to the above-cited application on July 15, 2004. However, it is respectfully submitted that the Applicant is a small entity, and that the Filing Fee and Surcharge Fee were mistakenly paid at the large entity rate. Applicant therefore now claims small entity status in accordance with 37 C.F.R. 1.27, and further requests a refund in the amount of

Docket No.: NHL-NP-45
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\$450.00, which is the difference between the Filing Fee and
Surcharge Fee payments for large and small entities.

Early and favorable action on this request is respectfully
requested.

If mailed, I, the person signing this certification below, hereby
certify that this correspondence is being deposited with the United
States Postal Service with sufficient postage as first class mail in an
envelope addressed to: Director of the U.S. Patent and Trademark
Office, P.O. Box 1450, Alexandria, VA 22313-2450, on the date
indicated in the certification of mailing on the transmittal letter sent
herewith, or if facsimile transmitted, I, the person signing this
certification below, hereby certify that this paper is being facsimile
transmitted to the United States Patent and Trademark Office on the

Docket No.: NHL-NP-45
Serial No.: 10/816,465
Customer No.: 00432

date indicated in the certification of facsimile transmission on the
transmittal letter which is being facsimile transmitted herewith.

Respectfully submitted,

A handwritten signature in cursive script, reading "Nils H. Ljungman".

Nils H. Ljungman, Esq.
Attorney for Applicant[s]
Reg. No. 25,997
Name of person signing certification
Nils H. Ljungman & Associates
P.O. Box 130
Greensburg, PA 15601-0130
Telephone: (724) 836-2305
Facsimile: (724) 836-2313



COPY

Docket No.: NHL-NP-45
Serial No.: 10/816,465
Customer No.: 00432

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXAMINER: (NOT YET RECEIVED)
ART UNIT: 1653
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FILING DATE: April 1, 2004
INVENTORS: Sonia MORENO-LÓPEZ and Marcos TIMÓN-JIMENÉZ
TITLE: MEANS FOR ELICITING AN IMMUNE RESPONSE
AND A METHOD THEREFOR

Greensburg, Pennsylvania 15601

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 15, 2004

TRANSMITTAL LETTER

Sir:

Please find enclosed herewith the following documents relating to the above-cited case:

- 1) a Response to Notice to File Missing Parts of Nonprovisional Application;
- 2) a copy of the Notice to File Missing Parts of Nonprovisional Application;
- 3) an executed Supplemental Declaration and Power of Attorney document;
- 4) a check for \$900.00 representing \$770.00 for the basic filing fee, and \$130.00 for the large entity surcharge fee;
- 5) a Preliminary Amendment having 8 pages;
- 6) an Information Disclosure Statement (Form PTO-1449) having four pages;
- 7) copies of the references (15) cited in the Information Disclosure Statement;
- 8) an International Preliminary Examination Report;
- 9) an Authorization to Withdraw from Deposit Account and a duplicate copy thereof; and
- 10) a stamped, self-addressed postcard, return of which is requested to acknowledge receipt of the enclosed documents.

NHL:slm/vwt

NP-45 09/NP040

Docket No.: NHL-NP-45
Serial No.: 10/816,465
Customer No.: 00432

TRANSMITTAL LETTER
Page 2

It is believed that no further fee is required to file the enclosed document.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 15, 2004.

Respectfully submitted,

 **COPY**

Nils H. Ljungman, Esq.
Attorney for Applicant[s]
Reg. No. 25,997
Name of person signing certification
Nils H. Ljungman & Associates
P.O. Box 130
Greensburg, PA 15601-0130
Telephone: (724) 836-2305
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 **COPY**
Signature

Vivian W. Toohey
Name of person mailing paper or fee

July 15, 2004
Date



Docket No.: NHL-NP-45
Serial No.: 10/816,465
Customer No.: 00432

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXAMINER: (NOT YET RECEIVED)
ART UNIT: 1653
SERIAL NO.: 10/816,465
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Greensburg, Pennsylvania 15601

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Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 2231-1450

August 3, 2004

ATTENTION: REFUND BRANCH

TRANSMITTAL LETTER

Sir:

Please find enclosed the following documents relating to the above-cited case:

- 1) a Petition for Refund of Fee having 3 pages;
- 2) a copy of a Transmittal Letter dated July 15, 2004 which shows that a Filing Fee of \$770.00 and a Surcharge Fee of \$130.00 were paid relating to the above-cited application on July 15, 2004; and
- 3) a stamped, self-addressed postcard, return of which is requested to acknowledge receipt of the enclosed documents.

Docket No.: NHL-NP-45
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TRANSMITTAL LETTER
Page 2

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Respectfully submitted,



Nils H. Ljungman, Esq.
Attorney for Applicant[s]
Reg. No. 25,997
Name of person signing certification
Nils H. Ljungman & Associates
P.O. Box 130
Greensburg, PA 15601-0130
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Signature

Vivian W. Toohey
Name of person mailing paper or fee

August 3, 2004
Date

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